

# Illinois Commerce Commission



## ANNUAL REPORT Transportation Regulatory Fund Fiscal Year 2005

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## ILLINOIS COMMERCE COMMISSION

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September 16, 2005

The Honorable Rod R. Blagojevich  
Governor  
State Capitol  
Springfield, Illinois

Dear Governor Blagojevich:

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law [625 ILCS 5/18c-1604], the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in black ink, reading "Edward C. Hurley".

Edward C. Hurley  
Chairman

cc: State Library

Illinois Commerce Commission  
Transportation Regulatory Fund  
Annual Report of Expenditures  
For Fiscal Year 2005

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

"Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the sub-totals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund."

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601, requires that the Commission "account separately for monies from the following classes:

- (a) motor carriers of property, (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies."

This report is provided in accordance with the above stated requirement.

## 625 ILCS 5/18c-1604(1)

ILLINOIS COMMERCE COMMISSION  
Transportation Regulatory Fund  
FY2005 Receipts

FEE CODE	<u>TOTAL</u> <u>RECEIPTS</u>	<u>MOTOR</u> <u>CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	\$ 697,624	\$ 697,624	\$ -
Intrastate Authority Applications	124,000	124,000	-
Interstate Stamps/Receipts	3,213,609	3,213,609	-
Petition to Reinstate License	7,500	7,500	-
Transfers	20,850	20,850	-
Lease Agreement	136,160	136,160	-
Exemption Certificates	5,225	5,225	-
Copying	6,589	2,795	3,793
Gross Revenue Tax-Railroads	268,996	-	268,996
Miscellaneous Fees	14,403	11,067	3,336
Tariff Auditing/Annual Reports	1,313	1,313	-
Relocator's License Fees	26,400	26,400	-
Relocator Tow Record/Invoice	837,768	837,768	-
Operator's License Fees	25,000	25,000	-
Dispatcher License Fees	5,370	5,370	-
Administrative Citations	428,284	428,284	-
Civil Penalties	171,974	171,974	-
Broker's License	1,350	1,350	-
Tower's License	450	450	-
Railroad Route Miles	315,243	-	315,243
Railroad Crossing	298,900	-	298,900
IDOT Reimbursement	192,264	-	192,264
Grade Crossing Protection Fund	2,250,000	-	2,250,000
<b>TOTAL</b>	<b>\$ 9,049,272</b>	<b>\$ 5,716,738</b>	<b>\$ 3,332,533</b>

NOTE: The above table includes funds received by the Commission during FY2005 which may not match the deposit amounts shown by the Comptroller's Office for FY2005. Any differences are due to deposits in transit between the Commission and the Comptroller's Office. The above figures do not include revenues collected on behalf of other states in the Single State Registration Program.

625 ILCS 5/18c-1604(2)

ILLINOIS COMMERCE COMMISSION  
Transportation Regulatory Fund  
FY2005 Expenditures

LINE ITEM	<u>TOTAL</u> <u>EXPENDITURES</u>	<u>MOTOR</u> <u>CARRIER</u>	<u>RAILROAD</u>
Personal Services	\$ 4,214,529	\$ 1,961,867	\$ 2,252,662
Pension Pickup	74,310	25,417	48,893
Retirement	675,580	313,597	361,983
Social Security	272,860	105,499	167,361
Group Insurance	792,039	394,543	397,496
Contractual Services	372,293	202,096	170,197
Travel	74,513	13,549	60,964
Commodities	9,390	5,607	3,783
Printing	11,213	6,437	4,776
Equipment	84,893	42,295	42,598
Electronic Data Processing	265,970	160,764	105,206
Telecommunications	172,846	102,699	70,147
Operation of Automobiles	91,196	43,761	47,435
Lump Sum	177,468	0	177,468
Refunds	1,278	1,278	0
 TOTAL	 \$ 7,290,378	 \$ 3,379,409	 \$ 3,910,969

NOTE: The FY2005 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode shown above. These expenditures do not include Single State Registration.

ILLINOIS COMMERCE COMMISSION  
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY05. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

**Bureau of Planning & Operations**

Accountant	Posts and processes vouchers
Systems Developer I	Develops client/server and/or internet based computer systems
Information Systems Analyst II	Provides analysis of complex business operations and develops requirements and specifications for the creation of client/server and/or internet based computer systems
Senior Project Consultant	Performs process analysis, re-engineering, and optimization of selected work processes and business functions
Chief Information Officer	Manages the Agency' information management planning and implementation processes
Senior Financial & Budget Assistant	Performs technical and administrative duties related to monitoring the Grade Crossing Protection Fund as well as supervise and administer the Tax Processing function of the Financial Information Section

**Office of Chairman and Commissioners**

Chief Internal Auditor	Directs the agency's internal audit program
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### **Office of Executive Director**

Human Resources Analyst

Coordinates personnel transactions to ensure the accuracy and validity of data reflected in employee transactions

### **Transportation Division**

Bureau Chief

Manages the Transportation Division

Administrative Assistant II

Provides administrative support to Transportation Bureau Chief's Office

Chief Transportation Counsel

Provides broad range of legal services to the Transportation Division, directs program and staff

Technical Advisor IV

Staff attorney, represents Division in court and before the ILCC

Compliance Specialist

Provides compliance education to industry and shippers

Chief of Police

Oversees the ILCC Police activities including on-the-road enforcement and administrative staff functions

General Services Administrator I

Provides administrative support for the statewide Commission enforcement program

Administrative Assistant I

Administrative Assistant to Assistant Chief of Police

Public Service Administrator

Serves as Police Commander for Commission Police District

ICC Police Officer II  
(8 positions)

Conducts on-the-road enforcement and investigations of intrastate motor carriers

Transportation Investigator (3 positions)	Conducts investigations of relocation towing companies, non- sworn
Administrative Assistant I	Provides administrative support to the household goods carrier enforcement program
Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audits and dispute resolution
Project Manager	Provides management of the motor carrier registration program
Homeland Security Director	Coordinates the continuous collection and dissemination of information related to the security of Illinois public service infrastructure, including utilities
Transportation Industry Customer Service Rep II (2 positions)	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance
Transportation Industry Customer Service Rep Trainee (2 positions)	Provides services to the public, and the transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers
Manager – Review & Examination	Directs transportation hearings program
Administrative Assistant	Provides administrative support to the hearings program
Administrative Law Judge	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions
Manager - Railroad	Directs the Railroad Safety Program



Executive Secretary II	Provides secretarial and administrative support to Railroad Safety Program
Management Secretary	Provides secretarial and administrative support to Railroad Safety Program
Railroad Safety Specialist IV (5 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents and conduct of Operation Lifesaver Public Education Program
Railroad Safety Specialist III (9 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards
Railroad Safety Specialist III (2 positions)	Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials
Railroad Safety Specialist III (3 positions)	Conduct inspections of mainline track operated by registered rail carriers
Transportation Policy Analyst IV	Conduct research and analysis of transportation related issues

## 625 ILCS 5/18c-1604(4)

### Allocation of Expenditures Between the Transportation Regulatory Fund And Other Funds

#### Personal Services

The payroll for the fiscal year was established so that cross funding and transfer of employees from fund to fund for cash flow purposes would not occur throughout the year. The payroll fund assignments for positions in divisions other than the Transportation Division were in accordance with activities of the individual divisions and sections that perform both Transportation and Public Utility functions. The personal services related lines, (i.e., pension pick-up, retirement, social security, and group insurance) were allocated based on Transportation headcount.

#### Contractual Services

Fund specific expenditures were allocated to the appropriate fund. Where the purpose of the expenditure was attributable to more than one fund, the costs were allocated based on actual and/or anticipated use. Examples of this allocation are as follows:

Maintenance and rental of office equipment expenditures were allocated based on the ratios of the fund headcount to the total agency headcount.

Office rent was allocated based on actual usage.

Court reporting allocation was based on the cost of the services provided.

#### Travel

Travel costs were allocated based on the purpose of the travel.

#### Other Lines

In most instances the following line item expenditures were fund specific and were allocated accordingly:

Commodities, Printing, Equipment, Telecommunications,  
Operation of Auto, Electronic Data Processing, and Refunds.

## Allocation of Expenditures Between Classes Within the Transportation Regulatory Fund

### The Motor Carriers Of Property, Rail Carriers, And Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures. In those instances where there were other specific "overhead" costs (such as office rental costs, court reporting, and copying costs), those expenditures were allocated according to a time allocation assessment.